

# 13

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Yasunori INOUE, et al.

Serial Number: 09/228,148

Group Art Unit: 2812

Filed: January 11, 1999

Attention: Appln Processing Division,  
Customer Correction BranchFor: SEMICONDUCTOR DEVICE INCLUDING AN INSULATION FILM ON A  
CONDUCTIVE LAYER AND MANUFACTURING METHOD THEREOFREQUEST FOR CORRECTED FILING RECEIPTAssistant Commissioner for Patents  
Washington, D.C. 20231

Date: June 16, 1999

Sir:

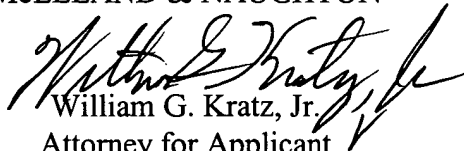
Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that it failed to indicate that this application is a division of prior application Serial No. 08/806,425 (- CONTINUING DATA AS CLAIMED BY APPLICANT- THIS APPLN IS A DIV OF 08/806,425 02/26/97- - should be added). A copy of the Utility Patent Application Transmittal is enclosed which indicates the correct status of this application.

We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,  
ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON

  
William G. Kratz, Jr.  
Attorney for Applicant  
Reg. No. 22,631

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JUN 23 1999

TECHNOLOGY CENTER 2800

Atty. Docket No. 970150A  
1725 K Street, N.W., Suite 1000  
Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
WGK/cs

Enclosures: Copies of Filing Receipt &amp; Utility Patent Application Transmittal

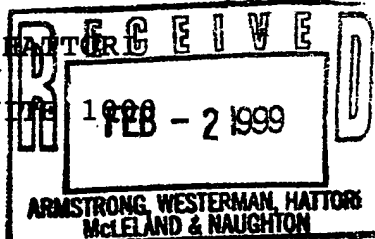
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/228,148         | 01/11/99    | 2812         | \$760.00      | 970150A             | 9     | 10     | 2      |

ARMSTRONG WESTERMAN HATTORI  
MCCLELAND & NAUGHTON  
1725 K STREET N W SUITE 1000  
WASHINGTON DC 20006



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

YASUNORI INOUE, GIFU, JAPAN; HIDEKI MIZUHARA, AICHI, JAPAN.

*Please add* → CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A DIV OF 08/806,425 02/26/97  
FOREIGN APPLICATIONS- JAPAN 8-43679(P) 02/29/96  
JAPAN 9-12788(P) 01/27/97

TITLE

SEMICONDUCTOR DEVICE INCLUDING AN INSULATION FILM ON A CONDUCTIVE LAYER AND MANUFACTURING METHOD THEREOF

PRELIMINARY CLASS: 257

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DATA ENTRY BY: MIDDLETON, MATTIE

TEAM: 04 DATE: 01/28/99

(see reverse)

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

970150A

Total Pages

First Named Inventor or Application Identifier

Yasunori INOUE

Express Mail Label No.

PAGE 1 OF 3

Check Box, if applicable [ ] Duplicate

**APPLICATION ELEMENTS FOR:**

SEMICONDUCTOR DEVICE INCLUDING AN  
INSULATION FILM ON A CONDUCTIVE LAYER AND  
MANUFACTURING METHOD THEREOF

ADDRESS TO: Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [35]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [9]
4. ☒ Oath or Declaration Total Pages [2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

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**JUN 23 1999**  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

970150A

First Named Inventor or Application Identifier

Yasunori INOUE

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese application no. 8-043679 filed on February 29, 1996 and Japanese application no. 9-012788 filed on January 27, 1997 are claimed under 35 USC 119. The certified copies have been filed in prior application Serial No. 08/806.425.
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/806.425
- a. ☒ Please amend the specification by inserting after the title: --This application is a division of prior application Serial No. 08/806,425 filed February 26, 1997.--
- b. ☒ Cancel in this application original claims 1-8 of the prior application before calculating the filing fee.

| FEE TRANSMITTAL                       | Number Filed | Number Extra | Rate      | Basic Fee |
|---------------------------------------|--------------|--------------|-----------|-----------|
| The filing fee is calculated below    |              |              |           | \$760.00  |
| Total Claims                          | 10 - 20      |              | x \$18.00 |           |
| Independent Claims                    | 2 - 3        |              | x \$78.00 |           |
| Multiple Dependent Claims             |              |              | \$260.00  |           |
| Basic Filing Fee                      |              |              |           | \$760.00  |
| Reduction by 1/2 for small entity     |              |              |           |           |
| Fee for recording enclosed Assignment |              |              | \$40.00   |           |
| TOTAL                                 |              |              |           | \$760.00  |

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UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

970150A

First Named Inventor or Application Identifier

Yasunori INOUE

PAGE 3 OF 3

☒ A check in the amount of \$760.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$     to cover the filing fee and the \$     assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS


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SUBMITTED BY

Typed or Printed Name William G. Kratz, Jr.

Reg. No. 22,631

Signature



Date: January 11, 1999

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